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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Sankar Basu et al.

Date: February 20, 2002

Serial No.: 10/056,546

Group Art Unit: 2176

Filed: January 24, 2002

Examiner:

For: Method and Apparatus for Active  
Annotation of Multimedia Content

Docket No. YOR920020018

Commissioner for Patents  
Washington, D.C. 20231

Attn: APPLICATION DIVISION, SPECIAL HANDLING UNIT

**RESPONSE TO NOTICE TO FILE MISSING PARTS  
OF NONPROVISIONAL APPLICATION**

Sir:

On January 24, 2002, the above-identified application was filed with the U.S. Patent and Trademark Office, under Rule 53(b) Missing parts.

Enclosed at this time are the original declarations, which include the signatures of the inventors.

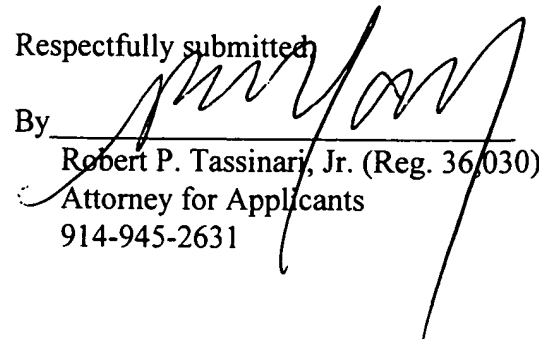
Also attached for filing are original Assignments for the above-identified application with the required Recordation Form Cover sheet.

The fee of \$170.00 representing both the surcharge of \$130.00 and Assignment fee of \$40.00 is to be charged to Deposit Account 09-0468. A duplicate copy of this paper is attached.

A copy of the "Notice to File Missing Parts of NonProvisional Application Filed Under 37 CFR 1.53(b) Filing Date Granted", mailing date February 15, 2002, is also attached as per your instructions.

Respectfully submitted

By

  
Robert P. Tassinari, Jr. (Reg. 36,030)

Attorney for Applicants

914-945-2631

IBM Corporation  
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UNITED STATES PATENT AND TRADEMARK OFFICE

MAR 04 2002

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/056,546	01/24/2002	Sankar Basu	YOR920020018

CONFIRMATION NO. 8796

FORMALITIES LETTER



\*OC000000007486746\*

IBM CORPORATION  
INTELLECTUAL PROPERTY LAW DEPT.  
P.O. BOX 218  
YORKTOWN HEIGHTS, NY 10598

Date Mailed: 02/15/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

I hereby certify that the attached correspondence comprising:

Post Card

Response to Notice to File Missing Parts  
of NonProvisional Application

Declaration and Power of Attorney

Recordation Sheet with attached Assignment

Notice to File Missing Parts of NonProvisional Application

is being deposited with the United States Postal Service as first  
class mail in an envelope addressed to:

Commissioner for Patents  
Washington, DC 20231

on February 21, 2002

Emily S. Forbes

(Print name of person certifying paper)

Emily S. Forbes

(Signature of person certifying paper)

IBM Corporation  
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